

FORM PTO-1390  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

MTS-3294US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/980,202

INTERNATIONAL APPLICATION NO.  
PCT/JP01/02456INTERNATIONAL FILING DATE  
27 March 2001 (27.03.01)PRIORITY DATE CLAIMED  
28 March 2000 (28.03.00)TITLE OF INVENTION  
DATA REPRODUCTION APPARATUS

APPLICANT(S) FOR DO/EO/US

Yasushi UEDA, Toru AOKI, Makoto OKAZAKI, Nobuaki NOGUCHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern documents(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 U.S.C. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Certificate of Mailing by Express Mail; Copy of Notification of Missing Requirements

U.S. APPLICATION NO. (if known, see 37 <b>09/980,202</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP01/02456</b>	ATTORNEY DOCKET NUMBER <b>MTS-3294US</b>
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21. ☐ The following fees are submitted:  
**BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):**

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO, and International Search Report not prepared by the EPO or JPO... .. \$1040.00

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.... .. \$890.00

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**CALCULATIONS PTO USE ONLY**

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$ 130.00		
CLAIMS	NUMBER FILED	EXTRA NUMBER	RATE		
Total claims	- 20 =		X \$18.00	\$	
Independent claims	- 3 =		X \$84.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) <input type="checkbox"/>			+ \$280.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 130.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$ 130.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).			+	\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+	\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 170.00	
				Amount to be refunded:	\$
				Charged:	\$

a. ☒ 2 checks in the amount of **\$130.00 and \$40.00** to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **18-0350**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.


**SEND ALL CORRESPONDENCE TO:**  
 Allan Ratner  
 Ratner & Prestia  
 P.O. Box 980  
 Valley Forge, PA 19482  
 (610) 407-0700

SIGNATURE

Allan Ratner  
NAME

19.717  
REGISTRATION NUMBER

March 11, 2002  
DATE

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Y. Ueda et al.			<b>Docket N .</b> <b>MTS-3294US</b>
<b>Serial N .</b> 09/980,202	<b>Filing Date</b> Herewith	<b>Examiner</b>	<b>Group Art Unit</b>
<b>Invention:</b> DATA REPRODUCTION APPARATUS			
<p>I hereby certify that this <u>PTO Form 1390 with enclosures.</u></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on: <u>March 11, 2002</u></p> <p style="text-align: right;"><u>Kathleen Libby</u> (Typed Or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: right;"> (Signature of Person Mailing Correspondence)</p> <p style="text-align: right;"><u>EL 833435143 US</u> ("Express Mail" Mailing Label Number)</p> <p style="text-align: center;"><b>Note: Each paper must have its own certificate of mailing.</b></p>			